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Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	0666.1740001/TGD/EDH	
First Inventor	Takada	
Title	Axle Driving Apparatus	
Express Mail Label No.		

See MPEP chapter 600 concerning utility patent application contents.


ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies
- 17858 U.S. PRO
10/659430
09/11/03

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Two (2) Return Receipt Postcards (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Authorization under 37 C.F.R. § 1.136(a)(3)
- ☐ Other:

17058 U.S. PTO
10/659430

09/11/03

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
Prior application information: Examiner Frank D. Lopez


of prior application No: 09/862,450
Group/Art Unit: 3745

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

- ☒ **Customer Number or Bar Code Label** or ☐ **Correspondence address below**
- Customer No. 26111 (Insert Customer No. or Attach bar code label here)

<i>NAME</i>	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.	
	Attorneys at Law	
<i>ADDRESS</i>		
<i>CITY</i>		<i>STATE</i>
<i>COUNTRY</i>		<i>TELEPHONE</i>

NAME (Print/Type)	Tracy Gene G. Durkin	Registration No. (Attorney/Agent)	32,831
SIGNATURE		Date	4/11/03

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES FOR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

09/11/03
15918 U.S. PTO

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PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Takada
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	0666.1740001/TGD/EDH

TOTAL AMOUNT OF PAYMENT (\$750.00)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1105	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ 750.00)

2. EXTRA CLAIM FEES

Extra Fee from below Fee Paid
Total Claims 7 - 20 = 0 X = 0
Indep. Claims 1 - 3 = 0 X = 0
Multiple Dependent =

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)-0-

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
				105	130	205	65	Surcharge - late filing fee or oath	
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
				139	130	139	130	Non-English specification	
				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	
				116	400	216	200	Extension for reply within second month	
				117	920	217	460	Extension for reply within third month	
				118	1,440	218	720	Extension for reply within fourth month	
				128	1,960	228	980	Extension for reply within fifth month	
				119	320	219	160	Notice of Appeal	
				120	320	220	160	Filing a brief in support of an appeal	
				121	280	221	140	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,280	241	640	Petition to revive - unintentional	
				142	1,280	242	640	Utility issue fee (or reissue)	
				143	460	243	230	Design issue fee	
				144	620	244	310	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	130	123	130	Petitions related to provisional applications	
				126	180	126	180	Submission of Information Disclosure Stmt	
				581	40	481	40	Recording each patent assignment per property (times number of properties)	
				146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
				149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
				179	740	279	370	Request for Continued Examination (RCE)	
				169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)-0-

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Tracy Gene G. Durkin	Registration No. (Attorney/Agent)	32,831	Telephone	202-371-2600
Signature		Date	9/11/03		

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September 11, 2003

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Commissioner for Patents
Washington, D.C. 20231

Mail Stop Patent Application

Re: U.S. Utility Patent Application
Appl. No. *To Be Assigned*; Filed: Herewith
(Continuation of Appl. No.: 09/862,450; Filed: May 23, 2001)
For: **Axle Driving Apparatus**
Inventors: Takada *et al.*
Our Ref: 0666.1740001/TGD/EDH

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17);
2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
3. U.S. Utility Patent Application entitled:

Axle Driving Apparatus

and naming as inventors:

Kenichi Takada; and
Ryota Ohashi

the application consisting of:

- a. A specification containing:
 - i. 16 pages of description prior to the claims;
 - ii. 10 pages of claims (30 claims);
 - iii. 7 sheets of formal drawings (Figures 1-7);
 - iv. a one (1) page abstract;
 - b. A copy from parent of an original Declaration and Power of Attorney For Patent Application;
 - c. An Application Data Sheet (37 C.F.R. § 1.76);
4. Preliminary Amendment
 5. Information Disclosure Statement;
 6. Form PTO-1449 citing ten (10) documents (AA1-AH1 and AL1-AM1);
 7. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3);
 8. Two (2) return postcards; and
 9. Form PTO-2038 Credit Card Payment Form authorizing a charge in the amount \$ 750.00 to cover:
 \$750.00 filing fee for patent application.

Commissioner for Patents
September 11, 2003
Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Tracy-Gene G. Durkin
Attorney for Applicants
Registration No. 32,831

TGD/EDH:nar
Enclosures
SKGF_DC1:167270.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takada *et al.*

Appl. No. *To Be Assigned* (Continuation
of Appl. No. 09/862,450; Filed:
May 23, 2001)

Filed: Herewith

For: **Axle Driving Apparatus**

Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

Atty. Docket: 0666.1740001/TGD/EDH

**Authorization To Treat A Reply As Incorporating An Extension Of
Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Tracy-Gene G. Durkin
Attorney for Applicants
Registration No. 32,831

Date: 9/11/03

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SKGF 1/25/02 mac; 4/18/03 svb